

# Fractional Colouring and Precolouring Extension of Graphs

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# Graph colouring and precolouring

- $G$  a graph
- **chromatic number**  $\chi(G)$  :  
minimum  $k$  so that a vertex-colouring exists

## general question :

- what can we say if some vertices are already precoloured ?
- in particular : can  $\chi(G)$  colours still be enough ?
  - in general : **no**

# Precolouring questions

## next best questions :

- how many extra colours may be needed ?
- and what conditions on the precoloured vertices can make life easier ?

## Question ( Thomassen, 1997 )

- $G$  planar,  
 $W \subseteq V(G)$ , a set of vertices so that  
distance between any two vertices in  $W$  is at least 100
- can any 5-colouring of  $W$   
be extended to a 5-colouring of  $G$  ?

## *The first answer*

- $\text{dist}(W)$  : minimum distance between any two vertices in  $W$

**Theorem** (Albertson, 1998)

- $G$  any graph with chromatic number  $\chi$

$W \subseteq V(G)$  with  $\text{dist}(W) \geq 4$

$\implies$  any  $(\chi+1)$ -colouring of  $W$

can be extended to a  $(\chi+1)$ -colouring of  $G$

# Fractional colouring

- **fractional  $K$ -colouring** of graph  $G$  ( $K \in \mathbb{R}_+$ ):
  - every vertex  $v \in V$  is assigned a subset  $\phi(v) \subseteq [0, K]$  so that:
    - every subset  $\phi(v)$  has ‘measure’ 1
    - and  $uv \in E(G) \implies \phi(u) \cap \phi(v) = \emptyset$
- **fractional chromatic number  $\chi_F(G)$** :
  - =  $\inf \{ K \geq 0 \mid G \text{ has a fractional } K\text{-colouring} \}$
  - =  $\min \{ K \geq 0 \mid G \text{ has a fractional } K\text{-colouring} \}$

# Fractional colouring

- **note** : we always have  $\chi_F(G) \leq \chi(G)$ 
  - but the **difference** can be **arbitrarily large**
- ■  $\chi_F(G) = 1 \iff G$  has **no edges**
- ■  $\chi_F(G) = 2 \iff G$  has edges and is **bipartite**
- for all **rational**  $K \geq 2$  : there exist  $G$  with  $\chi_F(G) = K$

## *Precolouring in the fractional world*

- so now suppose that for some vertices  $W \subseteq V(G)$ , the vertices in  $W$  are already precoloured:
  - vertices  $w \in W$  have been given some set  $\phi(w)$  of measure 1
- when can this be extended to a fractional colouring of the whole graph  $G$ ?
- in general we should expect to require more than  $\chi_F(G)$  colours

## The set-up of the problem

- ■  $G$  a graph with fractional chromatic number  $\chi_F \geq 2$
- $D \geq 3$  an integer
- $W \subseteq V(G)$  with  $\text{dist}(W) \geq D$
- ■ the vertices  $w \in W$   
are precoloured with  $\phi(w) \subseteq [0, \chi_F + \alpha]$  of measure 1
  - for some real  $\alpha \geq 0$
- and we want to extend that to a fractional colouring of the whole  $G$ , using colours from  $[0, \chi_F + \alpha]$
- how large should  $\alpha$  be to be sure this can be done ?



## A major part of the answer

**Theorem** ( Král', Krnc, Kupec, Lužar & Volec, 2011 )

- extension is always possible, provided  $\alpha$  is at least :

- $$\frac{\sqrt{(\lfloor \frac{1}{4} D \rfloor \chi_F + 1)^2 - 4 \lfloor \frac{1}{4} D \rfloor - \lfloor \frac{1}{4} D \rfloor \chi_F + 1}}{2 \lfloor \frac{1}{4} D \rfloor},$$
 if  $D \equiv 0 \pmod{4}$

- $$\frac{\chi_F - 1}{\lfloor \frac{1}{4} D \rfloor \chi_F},$$
 if  $D \equiv 1 \pmod{4}$

- $$\frac{\sqrt{(\lfloor \frac{1}{4} D \rfloor \chi_F + 2)^2 - 4 (\lfloor \frac{1}{4} D \rfloor + 1) - \lfloor \frac{1}{4} D \rfloor \chi_F}}{2 \lfloor \frac{1}{4} D \rfloor},$$
 if  $D \equiv 2 \pmod{4}$

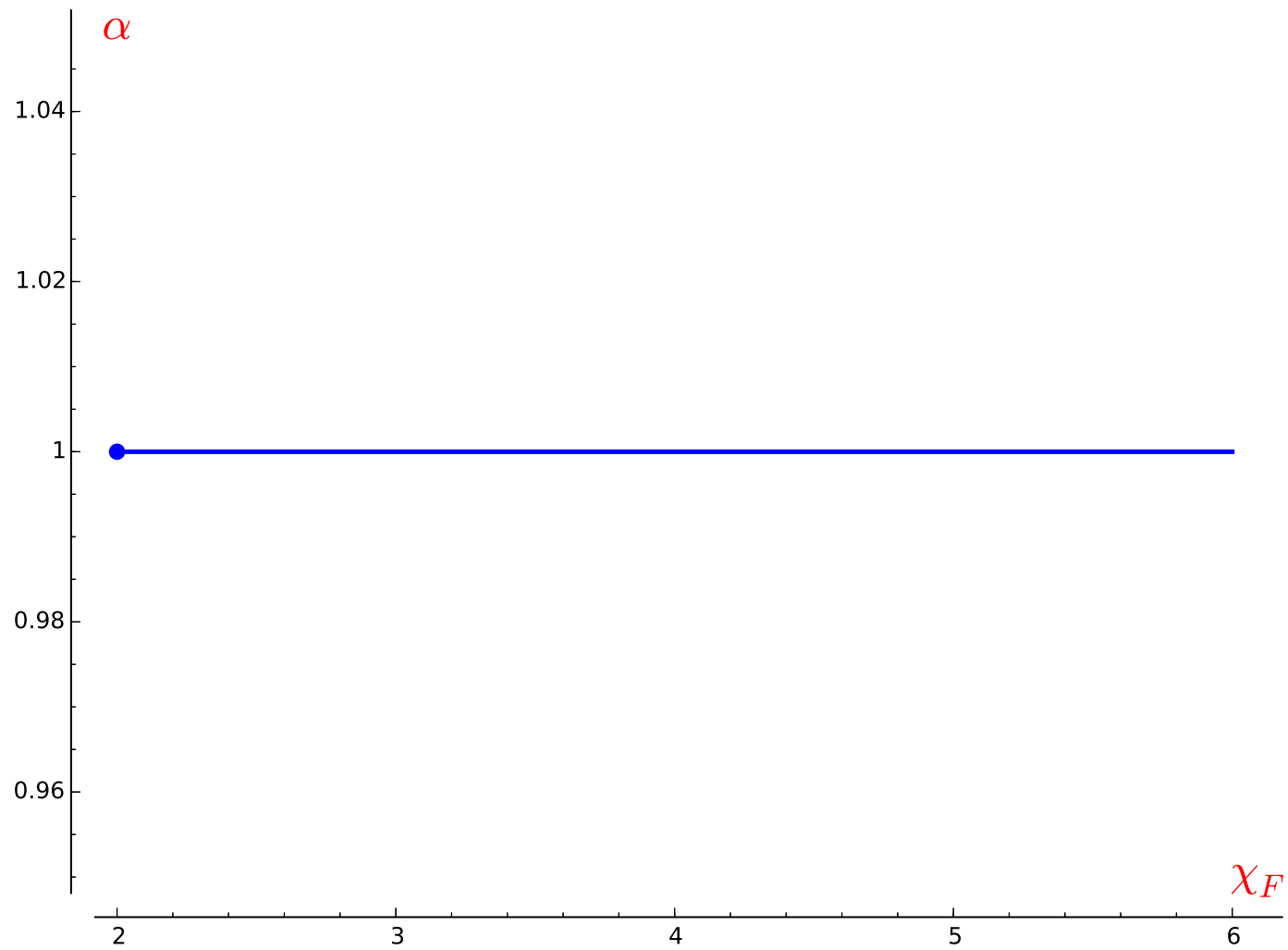
- $$\frac{\chi_F - 1}{\lfloor \frac{1}{4} D \rfloor \chi_F + \chi_F - 1},$$
 if  $D \equiv 3 \pmod{4}$

## *A major part of the answer*

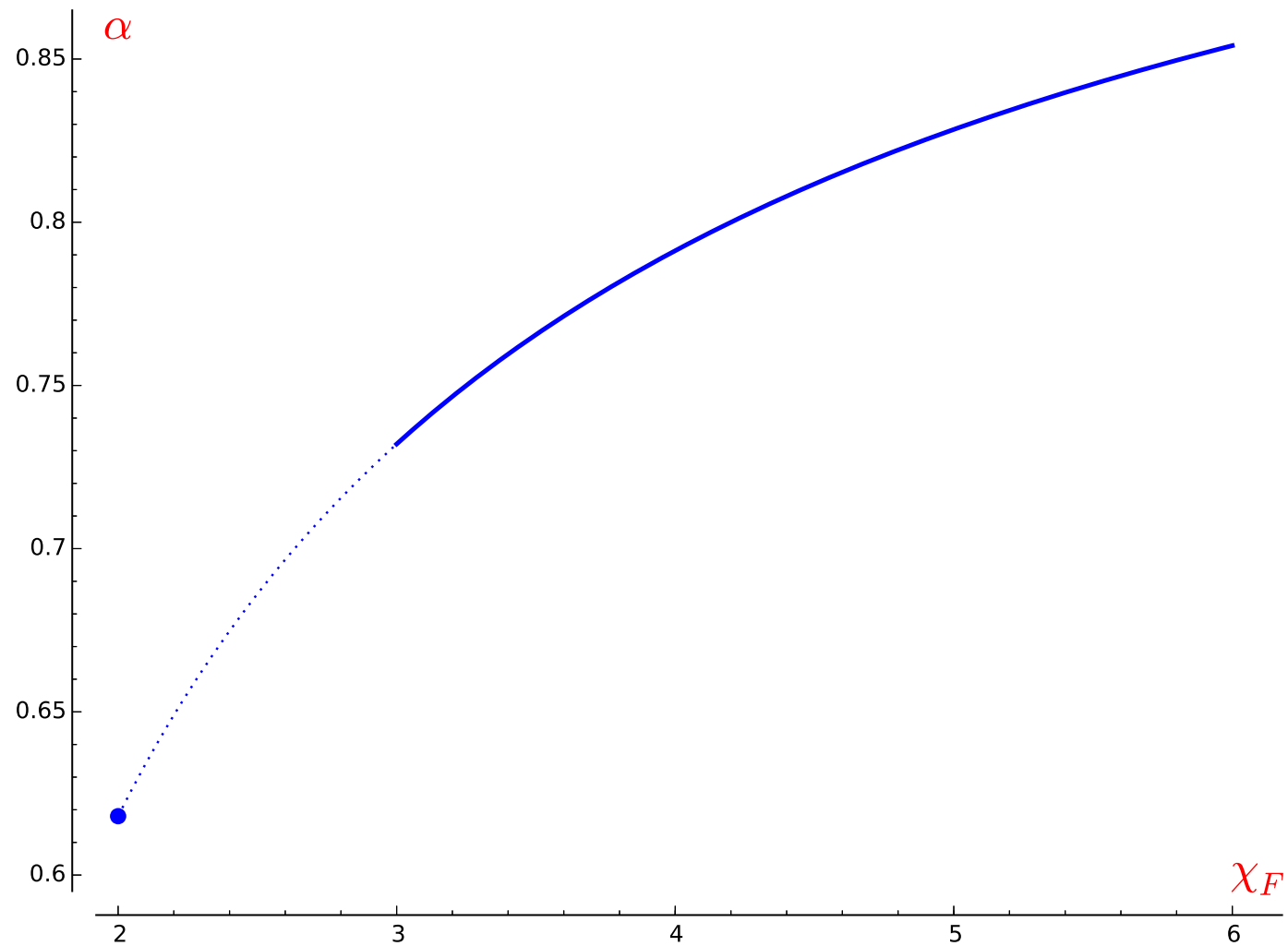
**Theorem** ( Král', Krnc, Kupec, Lužar & Volec, 2011 )

- moreover, these bounds on  $\alpha$  are best possible,
  - if  $D = 3$  and  $\chi_F \geq 2$ ;
  - if  $D \geq 4$  and  $\chi_F \in \{2\} \cup [3, \infty)$

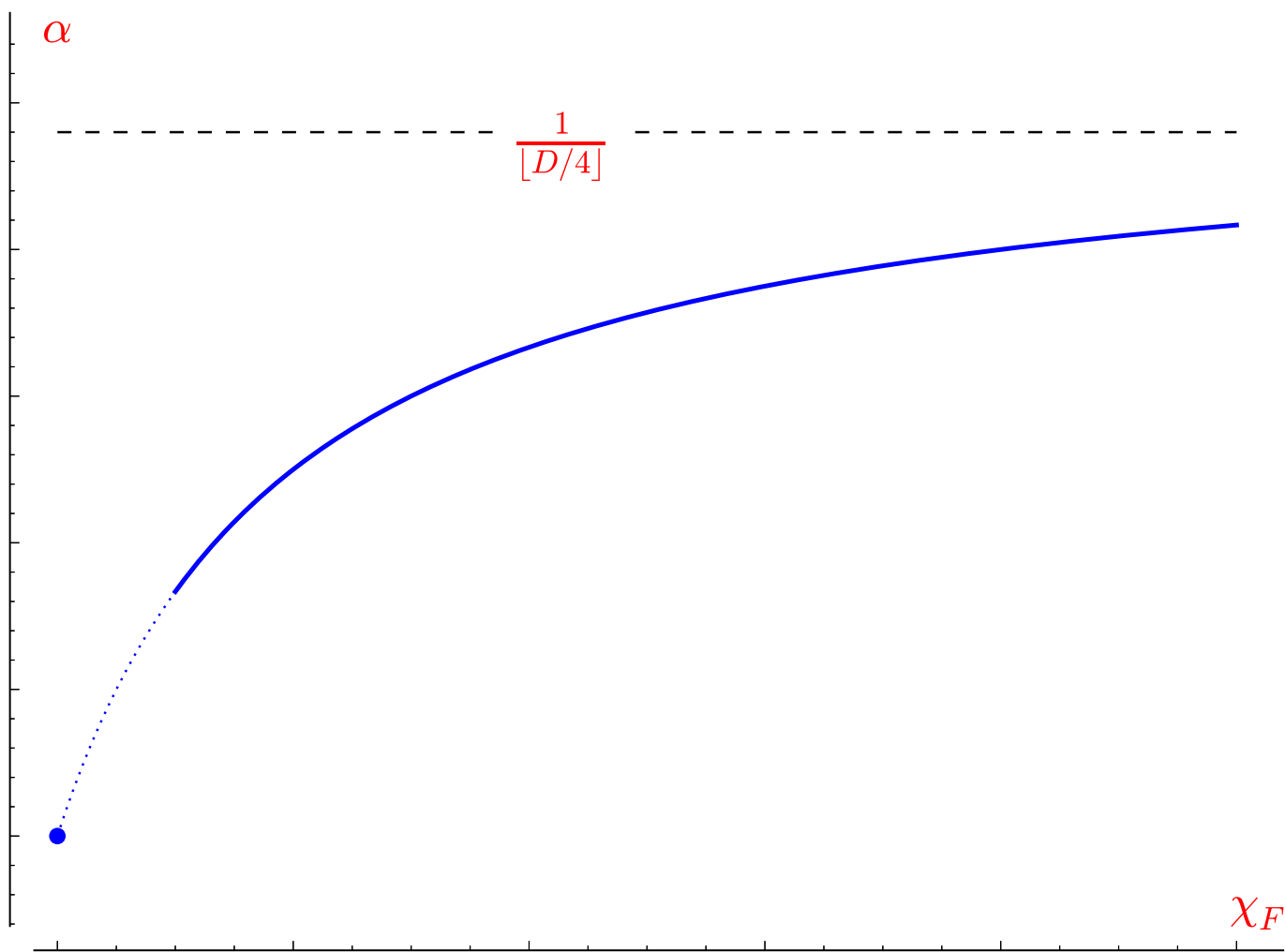
# The picture for $D = 3$



# The picture for $D = 4$



# The picture for general $D \geq 4$



## *Almost the complete answer*

- so for  $D \geq 4$ , we know the full answer only if  $\chi_F = 2$  or  $\chi_F \geq 3$ 
  - so what happens in the gap  $2 < \chi_F < 3$ ?
- the problem again :
  - we have some  $W \subseteq V(G)$  with  $\text{dist}(W) \geq D$
  - the vertices  $w \in W$  are precoloured with  $\phi(w) \subseteq [0, \chi_F + \alpha]$  of measure 1
  - and we want to extend that to a fractional colouring of the whole  $G$ , using colours from  $[0, \chi_F + \alpha]$

## The answer for $D = 4$

**Theorem** (vdH, Král', Kupec, Sereni & Volec, 2011)

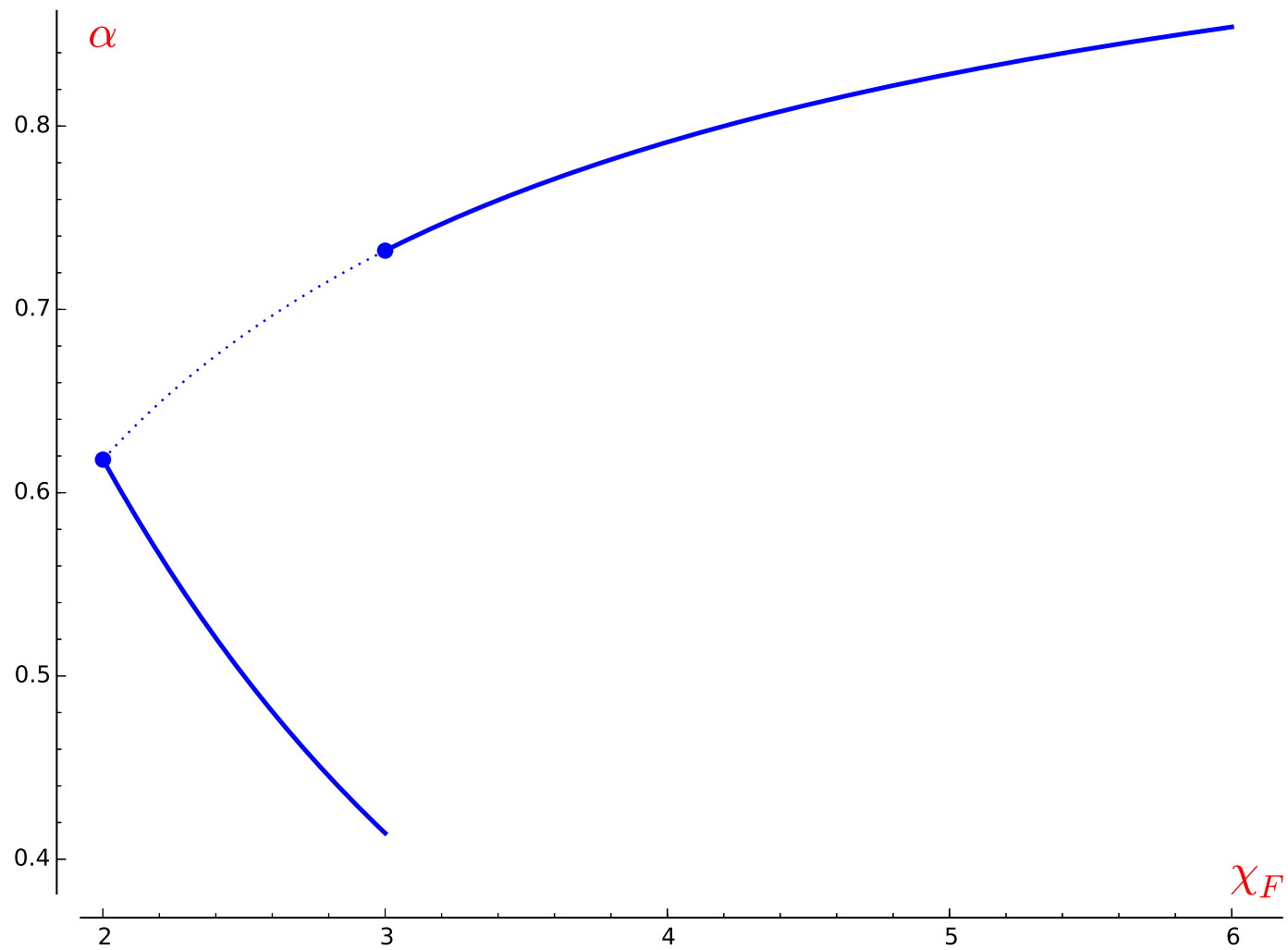
■ for  $D = 4$  we need :

■  $\alpha \geq \frac{\sqrt{(\chi_F - 1)^2 + 4(\chi_F - 1)} - \chi_F + 1}{2}$ , for  $\chi_F \geq 3$

■  $\alpha \geq \frac{\sqrt{(\chi_F - 1)^2 + 4} - \chi_F + 1}{2}$ , for  $2 \leq \chi_F < 3$

■ and these bounds are **best possible**

# The full picture for $D = 4$





## Almost the answer for $D = 5$

**Theorem** (vdH, Král', Kupec, Sereni & Volec, 2011)

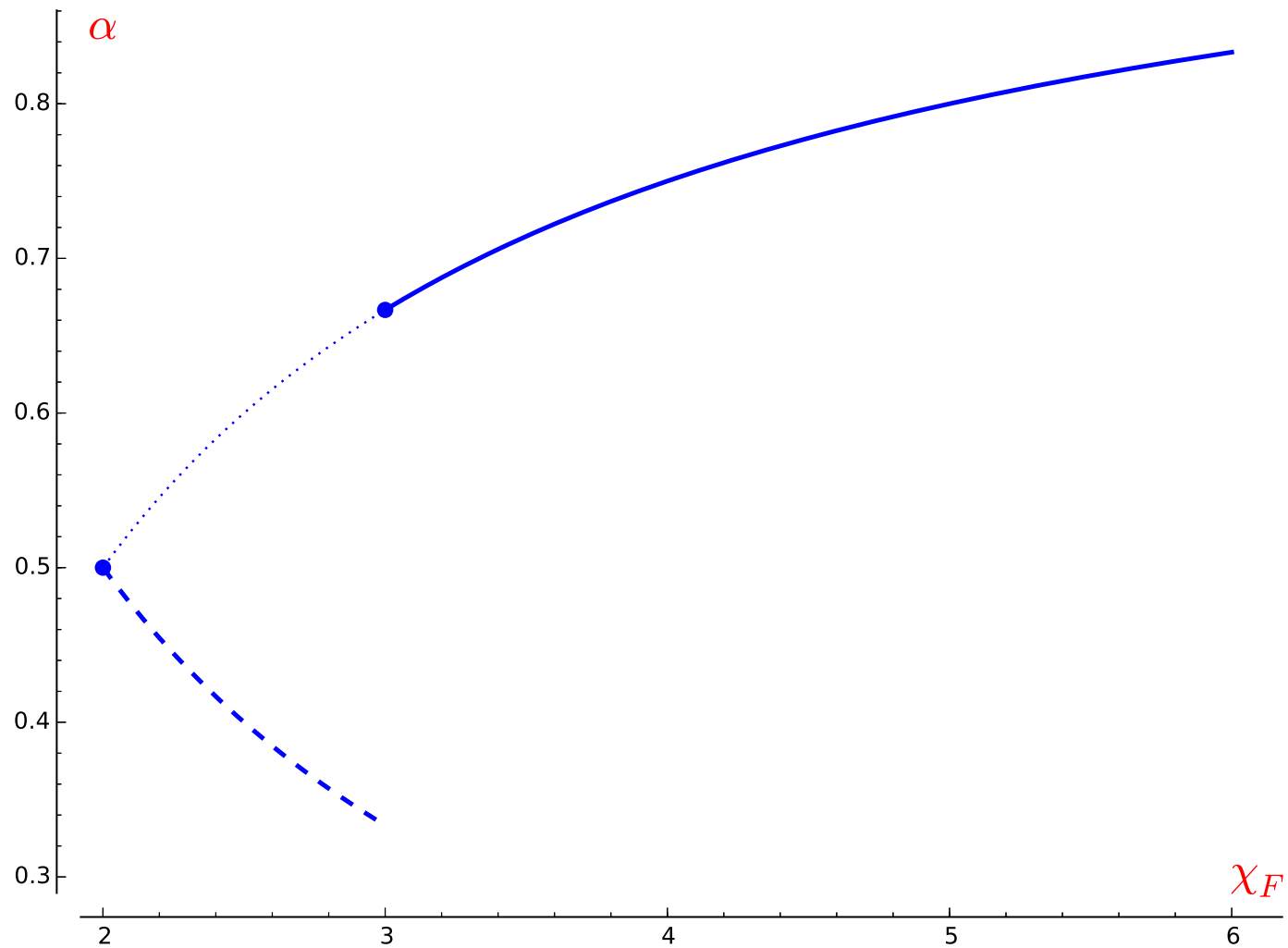
■ for  $D = 5$  we need :

■  $\alpha \geq \frac{\chi_F - 1}{\chi_F},$  for  $\chi_F \geq 3$

■  $\alpha \geq \frac{1}{\chi_F},$  for  $2 \leq \chi_F < 3$

■ but we don't know if the bound for  $2 \leq \chi_F < 3$  is best possible

# Almost the full picture for $D = 5$



## Almost the answer for $D = 6$

**Theorem** (vdH, Král', Kupec, Sereni & Volec, 2011)

■ for  $D = 6$  we need :

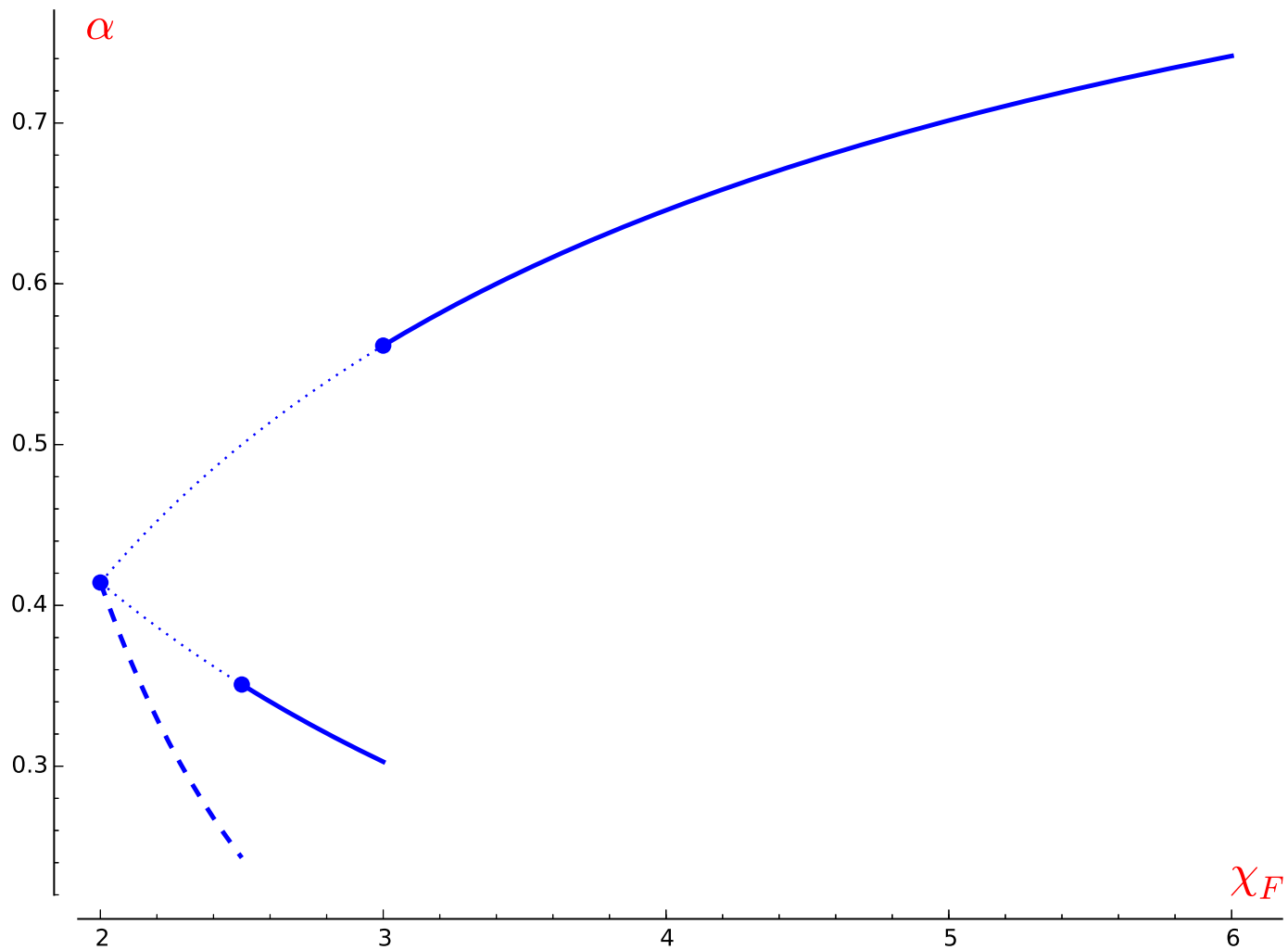
$$\alpha \geq \frac{\sqrt{\chi_F^2 + 4(\chi_F - 1)} - \chi_F}{2}, \quad \text{for } \chi_F \geq 3$$

$$\alpha \geq \frac{\sqrt{\chi_F^2 + 4} - \chi_F}{2}, \quad \text{for } 2\frac{1}{2} \leq \chi_F < 3$$

$$\alpha \geq \frac{\sqrt{\chi_F^2 + 4/(\chi_F - 1)} - \chi_F}{2}, \quad \text{for } 2 \leq \chi_F < 2\frac{1}{2}$$

■ and the bounds are **best possible** for  $\chi_F \in \{2\} \cup [2\frac{1}{2}, \infty)$

# Almost the full picture for $D = 6$



**And for  $D \geq 7$**

- for  $D \geq 7$  we have no further **precise results**  
for  $2 < \chi_F < 3$
- but all indications are that it gets more and more complicated when  $D$  gets larger

## *A new problem*

- in all problems so far we assumed that the precoloured vertices and the extension can use the same set of available colours
- but what would happen if for the precolouring we can use a smaller colour set only?
  - for integer colouring, this would make no difference  
( for distance  $D \geq 4$  )  
( may need extra colours – one extra is always enough )
  - but for fractional precolouring one would expect a more gradual change

## *The set-up of the new problem*

- ■  $G$  a graph with fractional chromatic number  $\chi_F \geq 2$
- $D \geq 3$  an integer
- $W \subseteq V(G)$  with  $\text{dist}(W) \geq D$
- $L \geq 1$  a real number
- ■ the vertices  $w \in W$   
are precoloured with  $\phi(w) \subseteq [0, L]$  with measure 1
- and we want to extend that to a fractional colouring of  
the whole  $G$ , using colours from  $[0, \chi_F + \alpha]$
- how large should  $\alpha$  be to be sure this can be done ?

## *The intuition for restricted fractional precolouring*

- for  $L = 1$ , all precoloured vertices get ‘colour’  $[0, 1)$ 
  - a small  $\alpha$  should be enough to complete the colouring
- when we increase  $L$ 
  - the required  $\alpha$  will increase as well
- until we reach  $L = \chi_F + \alpha_{\text{crit}}$ 
  - where  $\alpha_{\text{crit}}$  is the value so that:  
precolouring with  $[0, \chi_F + \alpha_{\text{crit}}]$   
can be completed with colours from  $[0, \chi_F + \alpha_{\text{crit}}]$
- increasing  $L$  further,  
doesn't require more than  $[0, \chi_F + \alpha_{\text{crit}}]$  to complete



# A first quarter of the answer

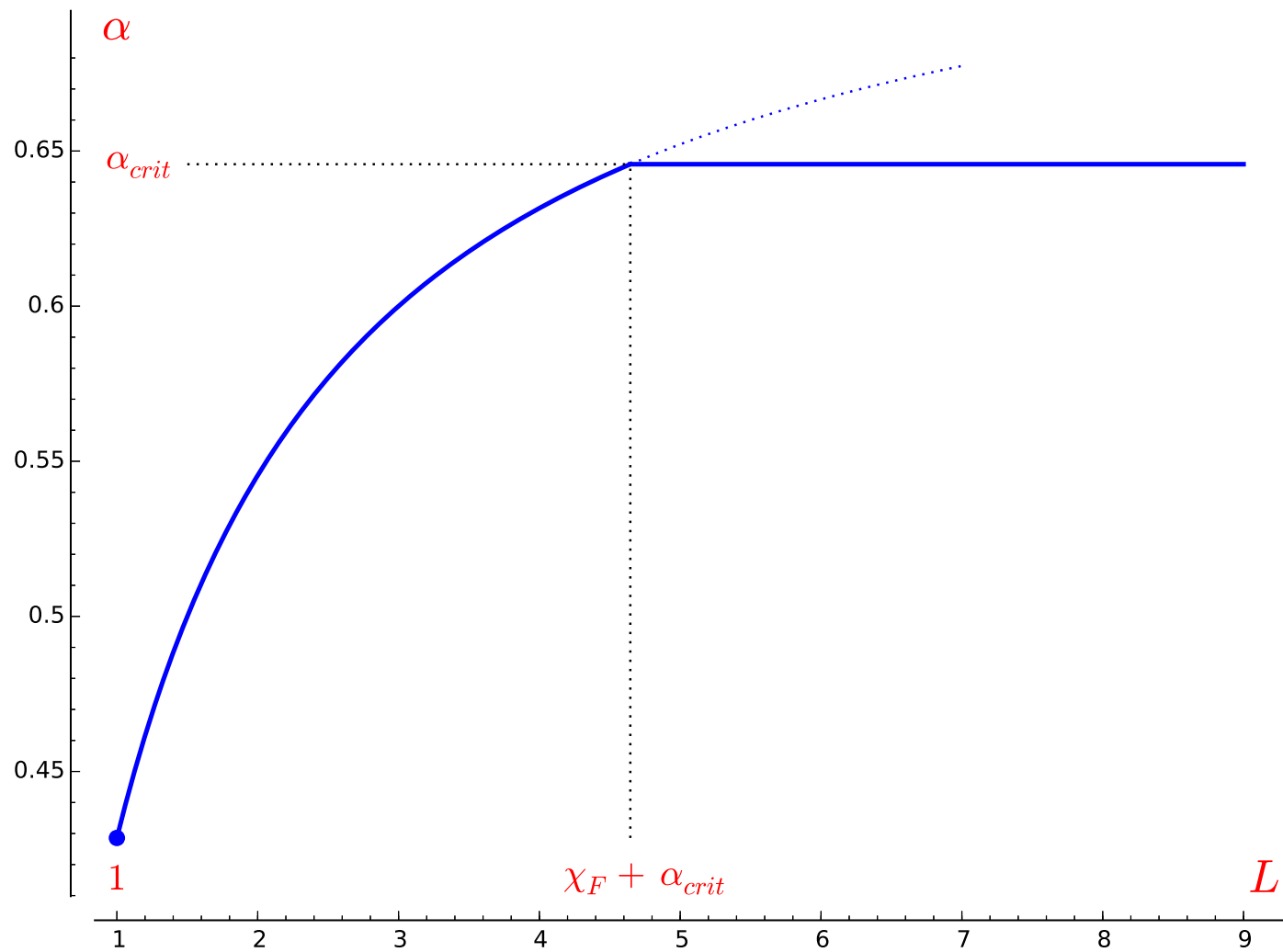
**Theorem** (vdH, Li & Müller, 2014+)

- if  $D \equiv 2 \pmod{4}$ , then extension is always possible, provided  $\alpha$  is at least :

- $\frac{L(\chi_F - 1)}{L \lfloor \frac{1}{4}D \rfloor \chi_F + \chi_F - 1}$ , if  $1 \leq L \leq \chi_F + \alpha_{\text{crit}}$
- $\alpha_{\text{crit}}$ , if  $L \geq \chi_F + \alpha_{\text{crit}}$

- where  $\alpha_{\text{crit}}$  is given by the first Král' et al. result
- and these bounds are best possible for  $\chi_F \in \{2\} \cup [3, \infty)$

# The picture for $D = 6$ and $\chi_F = 4$



# A next quarter of the answer

**Theorem** (vdH, Li & Müller, 2014+)

- if  $D \equiv 0 \pmod{4}$ , then extension is always possible, provided  $\alpha$  is at least :

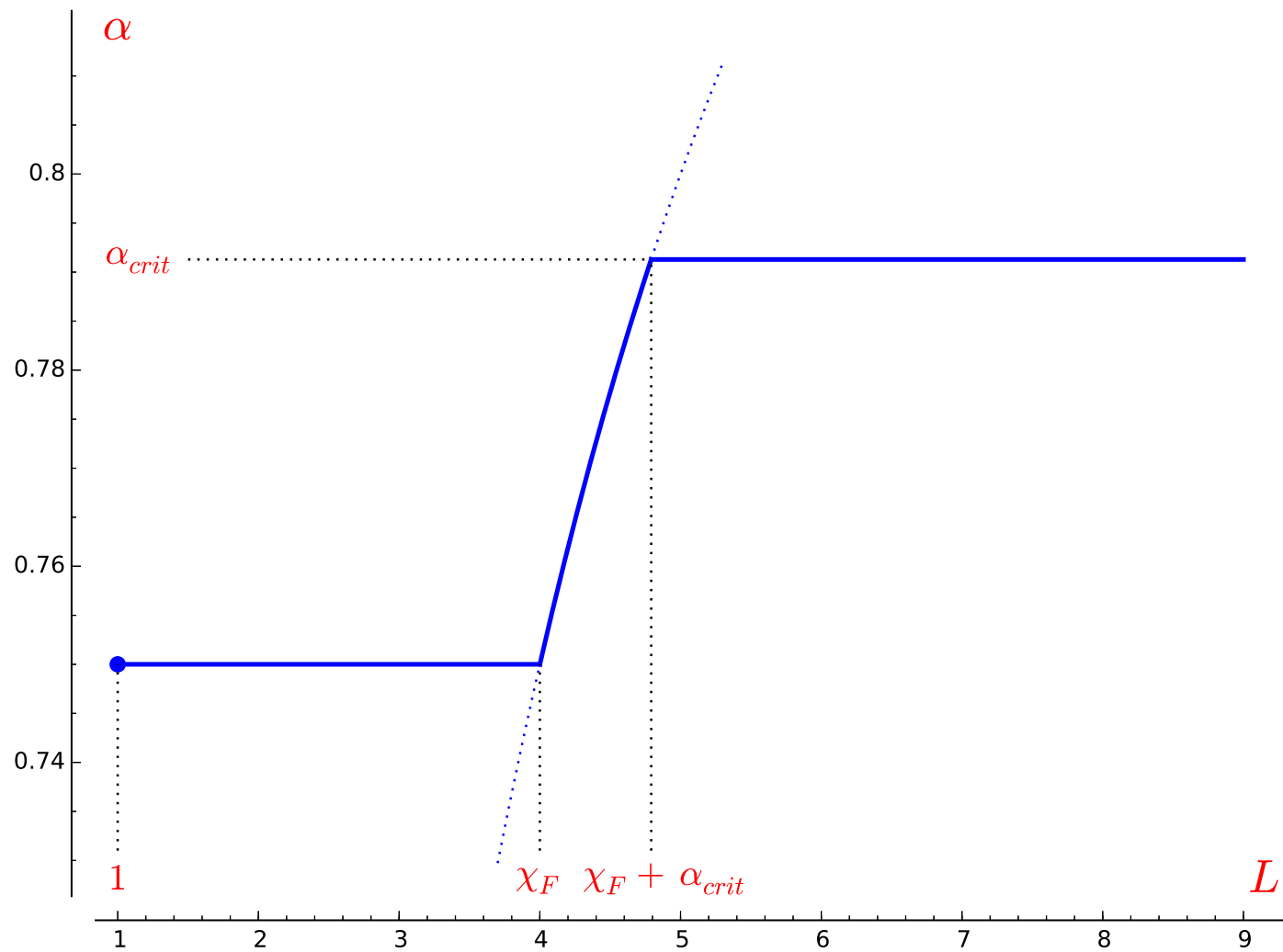
- $\frac{\chi_F - 1}{\lfloor \frac{1}{4}D \rfloor \chi_F}$ , if  $1 \leq L \leq \chi_F$

- $\frac{L - 1}{\lfloor \frac{1}{4}D \rfloor L}$ , if  $\chi_F \leq L \leq \chi_F + \alpha_{\text{crit}}$

- $\alpha_{\text{crit}}$ , if  $L \geq \chi_F + \alpha_{\text{crit}}$

- where  $\alpha_{\text{crit}}$  is given by the first Král' et al. result
- and these bounds are best possible for  $\chi_F \in \{2\} \cup [3, \infty)$

# The picture for $D = 4$ and $\chi_F = 4$



## *And the final half of the answer*

**Theorem** (vdH, Li & Müller, 2014+)

- if  $D$  is odd, then extension is always possible, provided  $\alpha$  is at least :

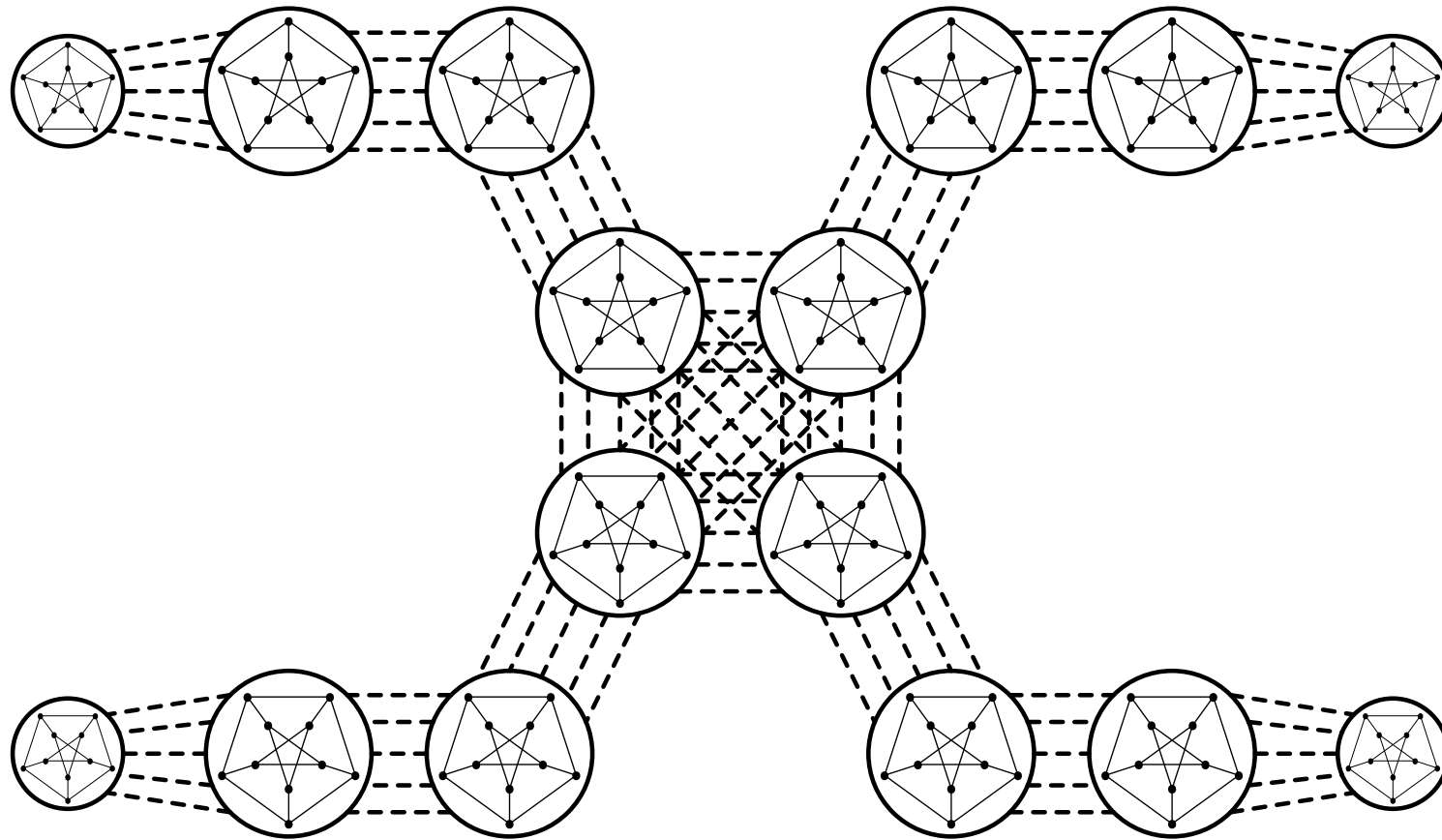
- $\alpha_{\text{crit}}$ ,

for any  $L \geq 1$

(i.e.: the bound doesn't depend on  $L$ )

- for  $\chi_F \in \{2\} \cup [3, \infty)$ , the best possible value of  $\alpha_{\text{crit}}$  is given by the first Král' et al. result

*The end*



Thank you for the attention !