

# Universal Orderings for Generalised Colouring Numbers

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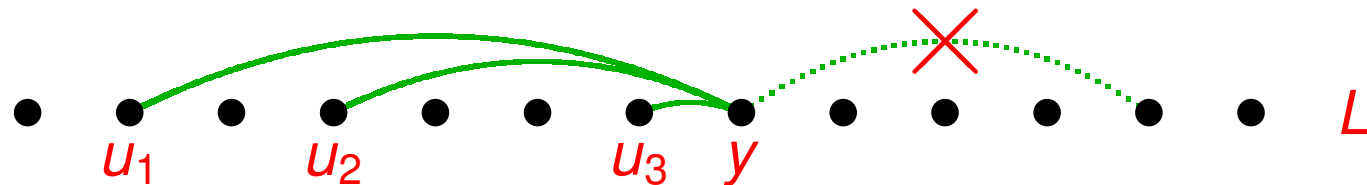
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# The normal colouring number

- let  $L$  be a linear ordering of the vertices of a graph  $G$
- for a vertex  $y \in V(G)$ ,  
let  $S(G, L, y)$  be the neighbours  $u$  of  $y$  with  $u <_L y$

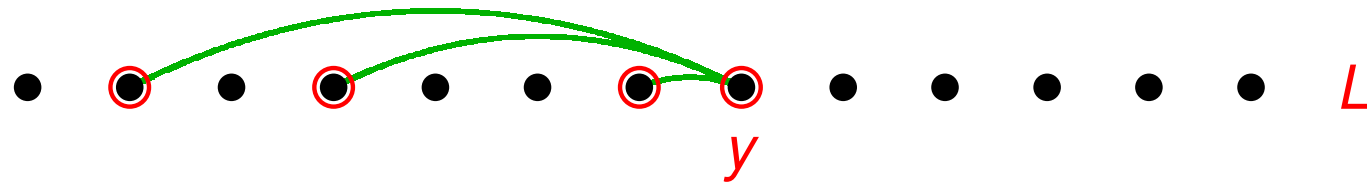


- and set  $S[G, L, y] = S(G, L, y) \cup \{y\}$
- then the colouring number  $\text{col}(G)$  is defined as

$$\text{col}(G) = \min_L \max_{y \in V(G)} |S[G, L, y]|$$

## Generalising the colouring number

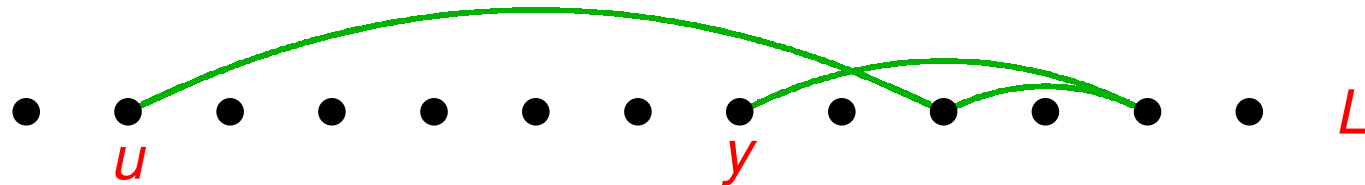
- the set  $S[G, L, y]$  can also be defined as  
“the set of vertices  $u \leq_L y$   
for which there is a  $yu$ -path of length at most 1”



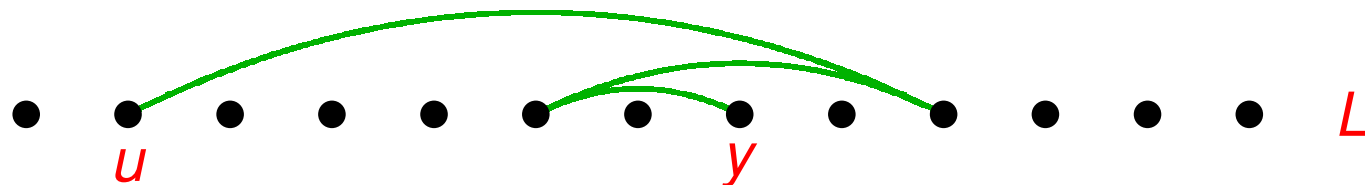
- what would happen if we allow longer paths ?

# Generalising the colouring number

- what would happen if we allow longer paths ?
- for  $u \leq_L y$  :
  - a **strong  $yu$ -path** has all internal vertices larger than  $y$

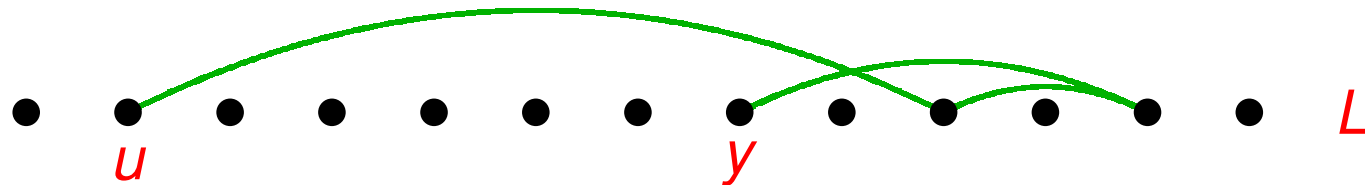


- a **weak  $yu$ -path** has all internal vertices larger than  $u$



# Strong generalised colouring numbers

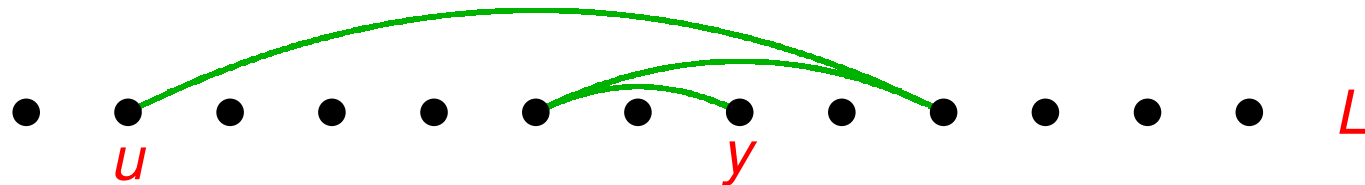
- a **strong  $yu$ -path** has all internal vertices larger than  $y$



- let  $S_r[G, L, y]$  be the set of vertices  $u \leq_L y$  for which there exists a **strong  $uy$ -path** with length at most  $r$
- then define the **strong  $r$ -colouring number  $scol_r(G)$**  by
  - $scol_r(G, L) = \max_{y \in V(G)} |S_r[G, L, y]|$
  - $scol_r(G) = \min_L scol_r(G, L)$

# Weak generalised colouring numbers

- a **weak  $yu$ -path** has all internal vertices larger than  $u$



- let  $W_r[G, L, y]$  be the set of vertices  $u \leq_L y$  for which there exists a **weak  $uy$ -path** with length at most  $r$
- then define the **weak  $r$ -colouring number  $wcol_r(G)$**  by
  - $wcol_r(G, L) = \max_{y \in V(G)} |W_r[G, L, y]|$
  - $wcol_r(G) = \min_L wcol_r(G, L)$

## ***Some facts about generalised colouring numbers***

- studied in some form (in particular  $r = 2$ ) since early 1990's
- introduced in this form by [Kierstead & Yang, 2003](#)
- by definition:  $\text{scol}_1(G) = \text{wcol}_1(G) = \text{col}(G)$
- ■ obviously:  $\text{scol}_r(G) \leq \text{wcol}_r(G)$
- but also:  $\text{wcol}_r(G) \leq (\text{scol}_r(G))^r$

(Proof: every weak path of length at most  $r$  is formed of at most  $r$  strong paths of length at most  $r$ .)

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  - but also:  $\text{wcol}_r(G) \leq (\text{scol}_r(G))^r$
- $\text{scol}_1(G) \leq \text{scol}_2(G) \leq \dots \leq \text{scol}_\infty(G) = \text{tree-width}(G) + 1$
- $\text{wcol}_1(G) \leq \text{wcol}_2(G) \leq \dots \leq \text{wcol}_\infty(G) = \text{tree-depth}(G)$



## *A structural application*

- classes of graphs  $\mathcal{G}$  with **bounded expansion** were introduced by Nešetřil & Ossona de Mendez in terms of “densities of shallow minors”
- generalises bounded tree-width, bounded genus, minor closed, etc., etc.

## *A structural application*

- classes of graphs  $\mathcal{G}$  with **bounded expansion** were introduced by Nešetřil & Ossona de Mendez in terms of “densities of shallow minors”
- **equivalent Definition** (Zhu, 2009)  
a class of graphs  $\mathcal{G}$  has **bounded expansion**:
  - there exists a function  $c : \mathbb{N} \rightarrow \mathbb{R}$  such that for every  $G \in \mathcal{G}$  and every  $r$  we have  $\text{scol}_r(G) \leq c(r)$
- we can use the **weak colouring numbers**  $\text{wcol}_r(G)$  as well

# Orderings

- for every  $r$ ,  
 $\text{scol}_r(G)$  is defined using some “good” ordering  $L$  of  $V(G)$  :

$$\text{scol}_r(G) = \min_L \text{scol}_r(G, L)$$

## Question

- can we use the same ordering  $L$  for different  $r$  ?

# Orderings

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## Question

- can we use the same ordering  $L$  for different  $r$ ?

## NO

- for every different  $r, s$  and function  $f(x)$ ,  
there exists a graph  $G$  such that for any ordering  $L$  of  $V(G)$  :
  - $\text{scol}_r(G, L) = \text{scol}_r(G) \implies \text{scol}_s(G, L) \geq f(\text{scol}_s(G))$
  - $\text{scol}_s(G, L) = \text{scol}_s(G) \implies \text{scol}_r(G, L) \geq f(\text{scol}_r(G))$

# Nevertheless, universal orderings are possible

## Theorem (vdH & Kierstead)

- for every graph  $G$ , there exists an ordering  $L^*$  of  $V(G)$ , such that for all  $r$  we have

$$\text{scol}_r(G, L^*) \leq (2^r + 1) \cdot (\text{scol}_{2r}(G))^{4r}$$

## Corollary

- a class of graphs  $\mathcal{G}$  has bounded expansion if and only if
  - there exists a function  $c' : \mathbb{N} \rightarrow \mathbb{R}$  such that for every  $G \in \mathcal{G}$  there exists an ordering  $L^*$  of  $V(G)$ , such that for every  $r$  we have  $\text{scol}_r(G, L^*) \leq c'(r)$

## *Ideas of the proof*

- the crucial idea of the proof goes back to a proof in the original work of Kierstead & Yang (2003) that introduced generalised colouring numbers
- the main part of that paper actually deals with a **game variant** of those numbers

# The game colouring number

- Alice and a gremlin create an ordering  $L'$  of the vertices of a given graph  $G$ , as follows
  - they alternately choose the next vertex, starting with the gremlin
  - Alice wants to end up with an ordering  $L'$  such that  $\text{scol}_r(G, L')$  is “small” (for some given  $r$ )

**Theorem** (Kierstead & Yang, 2003)

- no matter how mischievous the gremlin is, Alice can guarantee the final ordering  $L'$  to satisfy:

$$\text{scol}_r(G, L') \leq 3(\text{wcol}_{2r}(G))^2 \leq 3(\text{scol}_{2r}(G))^{4r}$$

## *A first common ordering*

- suppose the gremlin is not really mischievous, but has some **specific ordering in mind as well**

that directly leads to:

### Corollary

- let  $G_1, G_2$  be **two graphs** on the **same vertex set  $V$**  and let  $r_1, r_2$  be two natural numbers
  - then there exists an **ordering  $L^*$**  of  $V$  such that

$$\text{scol}_{r_1}(G_1, L^*) \leq 3(\text{scol}_{2r_1}(G_1))^{4r_1}$$

and

$$\text{scol}_{r_2}(G_2, L^*) \leq 3(\text{scol}_{2r_2}(G_2))^{4r_2}$$



## Next step: a common ordering for many graphs

### Theorem (vdH & Kierstead)

- let  $G_1, \dots, G_k$  be a collection of graphs on the same set  $V$  and let  $r_1, \dots, r_k$  be natural numbers
  - then there exists an ordering  $L^*$  of  $V$  such that for  $i = 1, \dots, k$ :  $\text{scol}_{r_i}(G_i, L^*) \leq (k + 1)(\text{scol}_{2r_i}(G_i))^{4r_i}$

### Corollary

- for every graph  $G$  and natural number  $k$ 
  - there exists an ordering  $L^*$  of  $V(G)$  such that for  $r = 1, \dots, k$ :  $\text{scol}_r(G, L^*) \leq (k + 1)(\text{scol}_{2r}(G))^{4r}$

## The most general, “weighted”, version

### Theorem (vdH & Kierstead)

- let  $G_1, \dots, G_k$  be a collection of graphs on the same set  $V$ ,  
let  $r_1, \dots, r_k$  be natural numbers,  
and let  $a_1, \dots, a_k$  be natural numbers
  - set  $A = a_1 + \dots + a_k$
  - then there exists an ordering  $L^*$  of  $V$  such that  
for all  $i = 1, \dots, k$ :

$$\text{scol}_{r_i}(G_i, L^*) \leq \left( \frac{A}{a_i} + 1 \right) \cdot (\text{scol}_{2r_i}(G_i))^{4r_i}$$

## How to use this general, “weighted”, version

- $\text{scol}_{r_i}(G_i, L^*) \leq \left(\frac{A}{a_i} + 1\right) \cdot (\text{scol}_{2r_i}(G_i))^{4r_i}$
- now set  $k = \lfloor \log_2 |V| \rfloor$
- and for  $i = 1, \dots, k$ , set  $a_i = 2^{k-i}$ 
  - then:  $A = a_1 + \dots + a_k = 2^k - 1 \leq 2^k$ , so  $\frac{A}{a_i} \leq 2^i$
- next, for  $i = 1, \dots, k$  take  $G_i = G$  and  $r_i = i$ , and we get:  
$$\text{scol}_i(G, L^*) \leq (2^i + 1) \cdot (\text{scol}_{2i}(G))^{4i}$$
- for  $i > k$  we have  $2^i + 1 > |V|$ , so nothing to prove

## Algorithmic aspects

- there exists an ordering  $L^*$  of  $V$  such that for all  $i = 1, \dots, k$ :

$$\text{scol}_{r_i}(G_i, L^*) \leq \left(\frac{A}{a_i} + 1\right) \cdot (\text{scol}_{2r_i}(G_i))^{4r_i}$$

- if orderings  $L_i$  with  $\text{wcol}_{2r_i}(G_i, L_i) = \text{wcol}_{2r_i}(G_i)$  are given, then  $L^*$  can be found in time polynomial in  $|V|$  and  $A$
- unfortunately, finding  $\text{wcol}_r(G)$  is NP-hard for  $r \geq 3$   
(Grohe et al., 2015)
- but using results of Dvořák (2013), we can find in polynomial time an ordering  $L'_i$  such that  $\text{wcol}_{2r_i}(G_i, L'_i)$  “approximates”  $\text{wcol}_{2r_i}(G_i)$

# *Finding universal orderings*

## Corollary

- let  $\mathcal{G}$  be a class with bounded expansion
  - then there exists a function  $c' : \mathbb{N} \rightarrow \mathbb{R}$  and a polynomial time algorithm
  - that finds for every  $G \in \mathcal{G}$  :
    - an ordering  $L^*$  of  $V(G)$
    - such that for every  $r$  :  $\text{scol}_r(G, L^*) \leq c'(r)$

## *But what does it really mean ... ?*

### Theorem

- a class of graphs  $\mathcal{G}$  has bounded expansion if and only if
  - there exists a function  $c' : \mathbb{N} \rightarrow \mathbb{R}$  such that for every  $G \in \mathcal{G}$  there exists an ordering  $L^*$  of  $V(G)$ , such that for every  $r$  we have  $\text{scol}_r(G, L^*) \leq c'(r)$

### Question

- what (if anything) does this ordering  $L^*$  tell us about the structure of the graphs in a class with bounded expansion ?

## *A more concrete question*

**Property** (Folklore et al.)

- $\text{scol}_1(G) = \text{wcol}_1(G) = \text{col}(G)$  can be found in polynomial time

**Theorem** (Grohe et al., 2015)

- for  $r \geq 3$ , finding  $\text{scol}_r(G)$  or  $\text{wcol}_r(G)$  is NP-hard

**Question**

- what is the complexity of finding  $\text{scol}_2(G)$  or  $\text{wcol}_2(G)$  ?

**Thanks for your attention !**



**Thanks to the organisers  
for another wonderful  
Midsummer Combinatorial Workshop !**

(but please switch off the outdoor heating next year)