## Lent 2009

## MA210

Solutions to Exercises 3

(1) Prove the identity

$$\binom{n}{0}^2 + \binom{n}{1}^2 + \dots + \binom{n}{n}^2 = \binom{2n}{n}$$

in the following two ways.

(a) Apply the Binomial Theorem to both sides of the identity

$$(1+x)^n \cdot (1+x)^n = (1+x)^{2n},$$

and look at the coefficient of  $x^n$ .

Solution. By the Binomial Theorem, we have

$$(1+x)^n = \sum_{i=0}^n \binom{n}{i} x^i$$

and

$$(1+x)^{2n} = \sum_{i=0}^{2n} {\binom{2n}{i}} x^i.$$

We rewrite

$$(1+x)^n \cdot (1+x)^n = (1+x)^{2n},$$

as

$$\sum_{i=0}^{n} \binom{n}{i} x^{i} \cdot \sum_{j=0}^{n} \binom{n}{j} x^{j} = \sum_{k=0}^{2n} \binom{2n}{k} x^{k}.$$

From the right-hand side of this equality, we have that the coefficient of  $x^n$  is  $\binom{2n}{n}$ . To the left-hand side, we apply the Convolution Theorem and obtain that the coefficient of  $x^n$  is

$$\sum_{i=0}^{n} \binom{n}{i} \cdot \binom{n}{n-i}.$$

Since  $\binom{n}{i} = \binom{n}{n-i}$ , we have that

$$\binom{2n}{n} = \sum_{i=0}^{n} \binom{n}{i} \cdot \binom{n}{n-i} = \sum_{i=0}^{n} \binom{n}{i}^{2}.$$

(b) Consider two disjoint sets A and B, each of size n, and count the number of subsets of  $A \cup B$  with n elements.

**Solution.**  $A \cup B$  has 2n elements and, therefore, it has  $\binom{2n}{n}$  subsets of size n. How can we count these subsets another way?

Each subset of  $A \cup B$  has k elements from A and n - k elements from B for some  $k, 0 \le k \le n$ . We can choose k elements from A in  $\binom{n}{k}$  ways and n - kelements from B in  $\binom{n}{n-k} = \binom{n}{k}$  ways. So, we have  $\binom{n}{k}\binom{n}{n-k} = \binom{n}{k}^2$  subsets of  $A \cup B$  with k elements from A and n - k elements from B. Summing over all k, we get all the subsets of  $A \cup B$  of size n, that is,

$$\binom{2n}{n} = \sum_{k=0}^{n} \binom{n}{k}^2$$

(2) Downtown Metropolis consists of a rectangular grid of streets. It has k blocks from west to east, and m blocks from north to south. You stand at the southwest (lower left) corner and want to go to your flat, which is at the north-east (top right) corner.

It is clear that if you want to take a shortest path to your flat, then you only walk in eastward or northward directions. Moreover, you can only move a whole number of blocks each time. Show that if you are interested in shortest paths only, you still have  $\binom{k+m}{k}$  possibilities to reach your flat.

**Solution.** The shortest way home is given by a sequence of one-block moves eastwards or northwards. We must make m + k moves: m northwards and k eastwards. Once we decide positions for eastwards moves, the remaining ones must be northwards. Each such a sequence gives a different path home. There are  $\binom{m+k}{k}$  ways to chose positions for eastwards moves, so there are  $\binom{m+k}{k}$  paths home.

(3) In an experiment on the effects of fertiliser on 27 plots of new breed of tomatoes,8 plots are given nitrogen, phosphorus and potash fertiliser; 12 plots are given

at least nitrogen and phosphorus, 12 plots are given at least phosphorus and potash; and 12 plots are given at least nitrogen and potash. Also, 18 plots receive nitrogen; 18 plots receive phosphorus; and 18 plots receive potash. How many plots were left unfertilised?

**Solution.** Let  $A_N$  be the set of plots that get nitrogen, let  $A_F$  be the set of plots that get phosphorus, and let  $A_P$  be the set of plots that receive potash. From the statement of the problem, we have that:  $|A_F| = |A_N| = |A_P| = 18$ ,  $|A_F \cap A_N| = |A_N \cap A_P| = |A_P \cap A_F| = 12$ , and  $|A_F \cap A_N \cap A_P| = 8$ . Using the Inclusion-Exclusion Principle, we obtain

$$|A_F \cup A_N \cup A_P| = |A_F| + |A_N| + |A_P| - |A_F \cap A_N| - |A_N \cap A_P| - |A_P \cap A_F| + |A_F \cap A_N \cap A_P|$$
$$= 3 \cdot 18 - 3 \cdot 12 + 8 = 26.$$

Hence, the number of unfertilised plots is  $27 - |A_F \cup A_N \cup A_P| = 27 - 26 = 1$ .  $\Box$ 

(4) Solve the following recurrence relation:

$$a_n = 4a_{n-1} - 4a_{n-2}$$
 for  $n \ge 2$ ,  
 $a_0 = 1$ ;  
 $a_1 = 3$ .

**Solution.** We use Theorem 3.5 from the lecture notes: first, we must find the roots of  $x^2 = 4x - 4$ , i.e., solve  $x^2 - 4x + 4 = 0$ . Since  $x^2 - 4x + 4 = (x - 2)^2$ , we have one double root r = 2. Hence, the general solution is

$$a_n = (k_1 + k_2 n)2^n.$$

Then, we obtain  $1 = a_0 = k_1 2^0 = k_1$  and  $3 = a_1 = (k_1 + k_2) 2^1 = 2k_1 + 2k_2$ . From these two equations we obtain  $k_1 = 1$  and  $k_2 = \frac{1}{2}$ . So,

$$a_n = \left(1 + \frac{n}{2}\right)2^n.$$

(5) Solve the following recurrence relation:

$$b_n = b_{n-1} + 6b_{n-2}$$
 for  $n \ge 2$ ,  
 $b_0 = 1$ ;  
 $b_1 = 1$ .

**Solution.** We again use Theorem 3.5 from the lecture notes: first, we must find the roots of  $x^2 = x + 6$ , i.e., solve  $x^2 - x - 6 = 0$ . Since  $x^2 - x - 6 = (x-3)(x+2)$ , we have roots  $r_1 = -2$  and  $r_2 = 3$ . Hence, the general solution is

$$b_n = k_1(-2)^n + k_2 3^n.$$

Then, we obtain  $1 = b_0 = k_1 + k_2$  and  $1 = b_1 = k_1(-2)^1 + k_2 3^1 = -2k_1 + 3k_2$ . From these two equations we obtain  $k_1 = \frac{2}{5}$  and  $k_2 = \frac{3}{5}$ . So,

$$b_n = \frac{2}{5}(-2)^n + \frac{3}{5}3^n.$$

- (6) Let a<sub>n</sub> denote the number of n-digit sequences in which each digit is 0, 1 or -1, and no two consecutive 1's or two consecutive -1's are allowed.
  - (a) Show that  $a_n = 2a_{n-1} + a_{n-2}$  for  $n \ge 3$ .

Solution. We are going to show that

$$a_n = 2(a_{n-1} - a_{n-2}) + 3a_{n-2} = 2a_{n-1} + a_{n-2}.$$

Let  $x_1, x_2, \ldots, x_n$  be a valid sequence of length n, that is, a sequence with  $x_i \in \{-1, 0, 1\}$  for every  $i = 1, 2, \ldots, n$ , and with no repeated 1's or -1's. Then,  $x_1, x_2, \ldots, x_{n-1}$  is also a valid sequence of length n - 1 and we have  $a_{n-1}$  of them. How many of these sequences have  $x_{n-1} = 0$ ? Again,  $x_1, x_2, \ldots, x_{n-2}$  is a valid sequence of length n - 2 and there are no additional restrictions on  $x_{n-2}$  because  $x_{n-1} = 0$ . (Note: if we considered  $x_{n-1} = 1$ , then we would have to guarantee that  $x_{n-2} \neq 1$ .) Since there are  $a_{n-2}$  valid sequences of length n - 2, we also have  $a_{n-2}$  valid sequences of length n - 1 that ends with 0. Consequently, we have  $a_{n-1} - a_{n-2}$  valid sequences of length n - 1 that ends with 1 or -1.

In the case when  $x_{n-1} = 0$ ,  $x_n$  can be any one of -1, 0, 1. So, we have  $3a_{n-2}$  valid sequences of length n of this type.

When  $x_{n-1} \neq 0$ , we have only two options for  $x_n$ : either -1, 0 (when  $x_{n-1} = 1$ ) or 0, 1 (when  $x_{n-1} = -1$ ). So, we have  $2(a_{n-1} - a_{n-2})$  valid sequences of length n of this type.

Altogether, we have that

$$a_n = 2(a_{n-1} - a_{n-2}) + 3a_{n-2} = 2a_{n-1} + a_{n-2}.$$

(b) Determine  $a_1$  and  $a_2$ .

**Solution.** Since 0, -1, 1 are all valid sequences of length 1, we have  $a_1 = 3$ . There are  $3^2 = 9$  sequence of length 2 with entries from  $\{-1, 0, 1\}$ . Only two of them are not valid: 1, 1 and -1, -1. Hence,  $a_2 = 9 - 2 = 7$ .

(c) Find a closed form expression for  $a_n$ .

**Solution.** We find the roots of  $x^2 = 2x + 1$ , i.e.,  $x^2 - 2x - 1 = 0$ . Using the usual formula for the roots of quadratic equations, we obtain two roots:  $1 + \sqrt{2}$  and  $1 - \sqrt{2}$ . Hence,  $a_n = k_1(1 + \sqrt{2})^n + k_2(1 - \sqrt{2})^n$ . Using (b), we get

$$3 = a_1 = k_1(1 + \sqrt{2}) + k_2(1 - \sqrt{2})$$

and

$$7 = a_2 = k_1(1 + \sqrt{2})^2 + k_2(1 - \sqrt{2})^2.$$

Solving these two equations, we obtain that  $k_1 = \frac{1}{2}(1 + \sqrt{2})$  and  $k_2 = \frac{1}{2}(1 - \sqrt{2})$ . Hence,

$$a_n = \frac{1}{2}(1+\sqrt{2})^{n+1} + \frac{1}{2}(1-\sqrt{2})^{n+1}$$

(7) On working through a problem, a student is said to be at the *n*-th stage if she or he is *n* steps from the solution. At any stage the student has five choices how to proceed. Two of these choices result in the student going to the (n - 1)-th stage, and the remaining three of them are better and they take her or him directly to the (n - 2)-th stage.

Let  $s_n$  be the number of ways the student can reach the solution if she or he starts from the *n*-th stage.

(a) If  $s_1 = 2$ , verify that  $s_2 = 7$ .

**Solution.** There are two choices for the student to go to stage 1, from which there are 2 ways to get to the solution. And there are 3 choices that get the student directly to the solution (stage 0), so  $s_2 = 2s_1 + 3 = 7$ .

(b) Give a recurrence relation for  $s_n$ .

**Solution.** There are two choices for the student to go to stage n - 1, from which there are  $s_{n-1}$  ways to get to the solution. And there are 3 choices that get the student to stage n - 2, from which there are  $s_{n-2}$  ways to get to the solution. So,

$$s_n = 2s_{n-1} + 3s_{n-2}$$

(c) Deduce that  $s_n = \frac{1}{4}(3^{n+1} + (-1)^n)$ . **Solution.** We find the roots of  $x^2 = 2x+3$ , i.e.,  $x^2-2x-3 = (x-3)(x+1) = 0$ . Thus we have two roots: 3 and -1. Hence,  $s_n = k_1 3^n + k_2 (-1)^n$ . Using  $2 = s_1 = 3k_1 + (-1)k_2 = 3k_1 - k_2$  and  $7 = s_2 = 3^2k_1 + (-1)^2k_2 = 9k_1 + k_2$ , we obtain  $k_1 = \frac{3}{4}$  and  $k_2 = \frac{1}{4}$ . So,

$$s_n = k_1 3^n + k_2 (-1)^n = \frac{3}{4} 3^n + \frac{1}{4} (-1)^n = \frac{1}{4} (3^{n+1} + (-1)^n).$$