Pathways to Equilibria, Pretty Pictures and Diagrams (PPAD)

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2-player game: find one Nash equilibrium

2-NASH ∈ PPAD (Polynomial Parity Argument with Direction)

Implicit digraph with indegrees and outdegrees ≤ 1 is a set of [nodes], paths and cycles:



Parity argument: number of **sources** of paths = number of **sinks** Comput. problem: given one source **0**, find another source or sink [Chen/Deng 2006] 2-NASH is PPAD-complete.

square game matrix A = payoffs to row player



equilibrium: only optimal strategies are played



plot polytope with strategy weights z1, z2, z3



with payoffs (scaled to 1) and labels for binding inequalities



equilibrium = completely labeled point



start path with artificial equilibrium z=0



start path with **artificial equilibrium** z=0, choose e.g.



leave facet with label 1, find duplicate label 3



leave facet with old label 3, find duplicate label 2



leave facet with old label 2, find duplicate label 3



leave facet with old label 3, find missing label 1



Symmetric Nash equilibria of symmetric games equilibria (including artificial equilibrium) = endpoints of paths











two completely labeled vertices



path because at most two neighbours ("doors" in castle)



orientation of edges: 2 on left, 3 on right



opposite orientation ("sign") of endpoints













Labeled polytope P

Let $a_j \in \mathbb{R}^m$, $\beta_j \in \mathbb{R}$,

$$\boldsymbol{P} = \{\boldsymbol{x} \in \mathbb{R}^m \mid \boldsymbol{a}_j \boldsymbol{x} \leq \beta_j, \ 1 \leq j \leq n\},\$$

 $\begin{array}{ll} \text{let facet} & F_j = \{ x \in P \mid a_j x = \beta_j \} \text{ have} \\ \text{label} & I(j) \in \{1, \dots, m\}. \end{array}$

Assume **P** is a **simple** polytope (no $x \in P$ on > m facets) \Rightarrow each vertex x on m facets = m linearly independent equations.

x completely labeled $\Leftrightarrow \{I(j) \mid x \in F_j\} = \{1, \dots, m\}.$

Completely labeled points come in pairs

Theorem [Parity Argument]

Let **P** be a labeled polytope.

Then *P* has an even number of completely labeled vertices.

Completely labeled points come in pairs of opposite sign

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sign of completely labeled **x** is **sign of determinant** of facet normal vectors in order of their labels: if (e.g.) facet $a_i x = \beta_i$ has label i = 1, 2, ..., m, then

 $sign(\mathbf{x}) = sign |a_1 a_2 \cdots a_m|$

Lemma

Let $\mathbf{x}, \mathbf{y} \in \mathbb{R}^m$ be adjacent vertices of a simple polytope \mathbf{P}



Lemma

Let $x, y \in \mathbb{R}^m$ be adjacent vertices of a simple polytope P with facet normals c, a_2, \ldots, a_m for x and d, a_2, \ldots, a_m for y.



Lemma

Let $x, y \in \mathbb{R}^m$ be adjacent vertices of a simple polytope Pwith facet normals c, a_2, \ldots, a_m for x and d, a_2, \ldots, a_m for y. Then $|c a_2 \cdots a_m|$ and $|d a_2 \cdots a_m|$ have opposite sign.



Proof :

$$cx = \beta_0$$

$$dy = \beta_1$$

$$a_2x = \beta_2$$

$$\vdots$$

$$a_mx = \beta_m$$

$$a_my = \beta_m$$

Proof :

 $c\mathbf{x} = \beta_0$ $d\mathbf{y} = \beta_1$ $\mathbf{a_2x} = \beta_2 \qquad \mathbf{a_2y} = \beta_2$ $\vdots \qquad \vdots$ $\mathbf{a_mx} = \beta_m \qquad \mathbf{a_my} = \beta_m$ Let $(\gamma, \delta, \alpha_2, \dots, \alpha_m) \neq (\mathbf{0}, \mathbf{0}, \mathbf{0}, \dots, \mathbf{0})$ with $\gamma \mathbf{c} + \delta \mathbf{d} + \alpha_2 \mathbf{a_2} + \dots + \alpha_m \mathbf{a_m} = \mathbf{0}$
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 $\mathbf{CX} = \beta_0$ $d\mathbf{v} = \beta_1$ $a_2 \mathbf{x} = \beta_2$ $a_2 \mathbf{y} = \beta_2$ · · · · · · $a_m \mathbf{X} = \beta_m \qquad a_m \mathbf{V} = \beta_m$ Let $(\gamma, \delta, \alpha_2, \ldots, \alpha_m) \neq (0, 0, 0, \ldots, 0)$ with $\gamma \mathbf{c} + \delta \mathbf{d} + \alpha_2 \mathbf{a}_2 + \cdots + \alpha_m \mathbf{a}_m = \mathbf{0}$ $\Rightarrow \gamma \neq \mathbf{0}, \ \delta \neq \mathbf{0},$ $(\gamma \mathbf{c} + \delta \mathbf{d})\mathbf{x} = (\gamma \mathbf{c} + \delta \mathbf{d})\mathbf{v}$

Proof :

$$cx = \beta_0 \qquad cy < \beta_0$$

$$dx < \beta_1 \qquad dy = \beta_1$$

$$a_2x = \beta_2 \qquad a_2y = \beta_2$$

$$\vdots \qquad \vdots$$

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Let $(\gamma, \delta, \alpha_2, \dots, \alpha_m) \neq (0, 0, 0, \dots, 0)$ with
 $\gamma c + \delta d + \alpha_2 a_2 + \dots + \alpha_m a_m = 0$

$$\Rightarrow \gamma \neq 0, \quad \delta \neq 0,$$
 $(\gamma c + \delta d)x = (\gamma c + \delta d)y, \qquad \gamma (cx - cy) = \delta (dy - dx)$

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 $|(\gamma c + \delta d) a_2 \cdots a_m| = \gamma |c|a_2 \cdots a_m| + \delta |d|a_2 \cdots a_m| = 0$

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$$\Rightarrow |c a_2 \cdots a_m| \text{ and } |d a_2 \cdots a_m| \text{ have opposite sign, QED.}$$

Facet normal vectors a1 a2 a3 c1 c2 c3, labels 1 2 3 1 2 3



Start with $a_1 a_2 a_3$, sign \ominus



Start with $a_1 a_2 a_3$, sign \bigcirc , label **1** missing, $a_1 \rightarrow c_3$ gives sign \oplus



Switch columns c_3 and a_3 in determinant: back to sign \ominus



next pivot $a_3 \rightarrow c_2$ gives sign \oplus



Switch columns c_2 and a_2 in determinant: back to sign \ominus



next pivot $a_2 \rightarrow a_3$ gives sign \oplus



Switch columns a_3 and c_3 in determinant: back to sign \bigcirc



Last pivot $c_3 \rightarrow c_1$ gives sign \oplus , opposite to starting sign \bigcirc .



Only need: sign-switching of pivots and column exchanges



















Recall: $\boldsymbol{m} \times \boldsymbol{m}$ matrix \boldsymbol{C} ,

$$\boldsymbol{P} = \{\boldsymbol{z} \in \mathbb{R}^m \mid -\boldsymbol{z} \leq \boldsymbol{0}, \ \boldsymbol{C}\boldsymbol{z} \leq \boldsymbol{1}\}$$

with 2m inequalities labeled $1, \ldots, m, 1, \ldots, m$.

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Normalize sign of "artificial equilibrium" **0** to \bigcirc , in general

$$index(z) = sign(z) \cdot (-1)^{m+1}$$

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bimatrix game (A, B):

$$C = \begin{pmatrix} 0 & A \\ B^{\top} & 0 \end{pmatrix}, \quad z = (x, y)$$

Completely labeled $(x, y) \neq (0, 0) \Leftrightarrow$ Nash equilibrium (x, y) of game (A, B)

Index of an equilibrium

Theorem [Shapley 1974]

A nondegenerate bimatrix game (A, B) has an odd number of equilibria, one more of index \oplus than of index \bigcirc .

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Equilibria of index \oplus include every

- pure-strategy equilibrium
- unique equilibrium
- dynamically stable equilibrium [Hofbauer 2003]












Strategic characterization of the index

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Theorem [Balthasar / von Stengel 2009]

A symmetric equilibrium of a nondegenerate symmetric bimatrix game has symmetric index \oplus

⇔ it is the **unique** equilibrium in a larger *symmetric* game that has suitable additional strategies for both players.

- Graph $G = (V, E), V = \{1, ..., n\}$
- orient each edge *ab* ∈ *E* as (*a*, *b*) or (*b*, *a*)
- perfect matching *M* ⊂ *E* of *G*
- for the edges *ab* of *M* (in any sequence), write down endpoints
 a, *b* in the order of the orientation of the edge. Define

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Euler graphs

Euler graph

• every node has even degree (= number of neighbours)



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- has Eulerian orientation (indegree = outdegree)



Euler graphs ... have tours

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Signs of matchings in Euler graphs

Theorem

A graph with an Eulerian orientation has as many perfect matchings of sign \oplus as of sign \bigcirc .

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Proof :

Any two perfect matchings are connected by a **pivoting path** which connects matchings of opposite sign.







Finding a second perfect matching in an Euler graph 12 34 56 23 34 56



Finding a second perfect matching in an Euler graph 12 34 56 23 34 56 5 1















12	34	56
2 <u>3</u>	34	56
23	4 <u>5</u>	56
23	4 5	6 <u>4</u>
23	5 <u>6</u>	64
23	56	4 <u>2</u>
<u>3</u> 4	56	42

















Finding a second perfect matching in an Euler graph \oplus ─ 23 (+)





A computational problem

Input: Graph G = (V, E) with Eulerian orientation and perfect matching of sign \oplus .

Output: A perfect matching with sign \bigcirc .

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- but may take exponential time in general [Morris 1994]
- Note: A second matching can be found in polynomial time [Edmonds 1965], but not with sign \bigcirc .

Related difficult problem: Pfaffian orientations of graphs.

Finding a second matching of opposite sign

Theorem [Végh / von Stengel 2014]

Given a graph G = (V, E) with an Eulerian orientation and a perfect matching of sign \oplus , a matching of sign \bigcirc can be found in time near-linear* in |E|.
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* up to factor given by inverse Ackermann function lpha .

Sign-switching cycle (SSC)

Given an oriented graph and a perfect matching *M*, a **sign-switching cycle** is a cycle *C* with every other edge in *M* and an **even** number of forward-pointing edges.

 \Rightarrow **M** \triangle **C** is a matching of opposite sign to **M**.



Two reductions which preserve Euler and matching property:

1. contract node of indegree = outdegree = 1 with its two edges



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Bimatrix games and signed matchings

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- Proved using dual cyclic polytopes, defined by *n* inequalities in *d*-space with vertices characterized by Gale evenness:

Gale string = bitstring of length n with d bits 1 with **forbidden** odd runs of 1's such as 010, 01110, 0111110, ...

Examples: 111111000, 011011110, 111001101.

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• Gale string = vertex, bit 1 = facet (tight inequality).

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Definition [Edmonds 2009] $(V, \mathcal{R}) d$ -oik (Euler complex)

⇔ **V** finite set of **nodes**, \mathcal{R} multiset of **rooms** \mathcal{R} with $|\mathcal{R}| = d$, any **wall** $W = \mathcal{R} - \{v\}$ for $v \in \mathcal{R} \in \mathcal{R}$ is contained in an **even** number of rooms

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manifold, d = 3







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d=2

Room partitions come in pairs

Given an oik \mathcal{R} with node set V, a **room partition** is a partition of V into rooms.

Theorem [Edmonds 2009]

The number of room partitions is even.



Room partition for 3-manifold



w-almost room partition



w-almost room partition



w-almost room partition




















[Edmonds / Sanità 2010]: exponentially long path

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Path length more than doubles























Backward recursion




























General construction: exponentially long path



 $W = R - \{v\}$ for $v \in R$ is called a wall of a room R

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A *d*-manifold is **orientable** if each room has a sign \oplus or \bigcirc so that any two rooms with a common wall *W* induce **opposite** orientation on *W* (\Leftrightarrow **pivoting changes sign**).



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A *d*-oik is orientable if half of the rooms with a common wall W induce sign \oplus on W, the other half sign \bigcirc on W.

Example: orientable manifold



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Room partition $A, a = \{1, 3, 5\}, \{2, 4, 6\}$





Room partition *A*, *a* : drop node 1

. drop node i





Room partition A, a, sign \oplus : drop node 1 leads to c, C, sign \ominus



T, $c, a \rightarrow c, C$ A, a

Room partition A, a, sign \oplus : drop node 3



Room partition A, a, sign \oplus : drop node **3** leads to B, b, sign \ominus



Room partition $\boldsymbol{c}, \boldsymbol{C}$, sign \bigcirc : drop node 5



Room partition $\boldsymbol{c}, \boldsymbol{C}$, sign \bigcirc : drop node 5



Room partition $\boldsymbol{c}, \boldsymbol{C}$, sign \bigcirc : drop node 5 leads to $\boldsymbol{b}, \boldsymbol{B}$, sign \oplus



Which sign for {**b**, **B**}?





Which sign for $\{\boldsymbol{b}, \boldsymbol{B}\}$? \bigoplus for $\boldsymbol{b}, \boldsymbol{B}, \bigcirc$ for $\boldsymbol{B}, \boldsymbol{b}$!



 \Rightarrow for odd dimension (here d = 3), order of rooms matters: permutations **263 154** (for **b**, **B**) and **154 263** (for **B**, **b**) have opposite parity.





Ordered room partitions

Theorem [Végh / von Stengel 2014]

Let \mathcal{R} be an oriented *d*-oik with node set V. Then the number of ordered room partitions $(R_1, \ldots, R_{|V|/d})$ is even.

Any two ordered room partitions connected by a pivoting path have opposite **sign**, and the respective unordered partitions are distinct.

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Proof uses "pivoting systems" with **labels** = nodes.

Pivoting systems generalize labeled polytopes, Lemke's algorithm, Sperner's lemma, room partitions in oiks, and more.

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- if pivoting is sign-switching (orientability)
 ⇒ endpoints of paths have opposite signs ⊕ ⊖
- opposite-signed matching in Euler graph found in linear time
- exponentially long paths for matchings in Euler graph emulate exponentially long Lemke–Howson paths in **games**
- we can orient oiks and room partitions (in odd dimension: need ordered partition).

